JOIN LEADERS IN THE ESTATE PLANNING COMMUNITY

ACCREDITED ESTATE PLANNER® DESIGNATION – *EXCELLENCE in ESTATE PLANNING THROUGH COLLABORATION*

The Accredited Estate Planner® (AEP®) designation is a graduate level, multi-disciplinary specialization in estate planning, obtained in addition to already recognized professional credentials within the various disciplines of estate planning. It is awarded by the National Association of Estate Planners & Councils (NAEPC) to recognize estate planning professionals who meet stringent requirements of experience, knowledge, education, professional reputation, and character, and who commit to the team concept of estate planning. As part of NAEPC's advocacy program, designation holders will be given priority in requests for referrals within each professional discipline that result from NAEPC promotion and advertising campaigns. In addition, NAEPC promotion and advertising will prominently feature the AEP® designation and the advantages of working with a professional who holds this special accreditation.

QUALIFICATIONS & REQUIREMENTS FOR APPLICANTS WITH FIFTEEN (15) YEARS OF EXPERIENCE or MORE

An **ACCREDITED ESTATE PLANNER®** applicant must meet **ALL** of the following requirements as established by the National Association of Estate Planners & Councils:

1. **Credential requirement.** To be eligible to be considered for the AEP® designation, the applicant must provide documentation of being currently licensed to practice law as an Attorney (JD) or to practice as a Certified Public Accountant (CPA); or of being currently designated as a Chartered Life Underwriter® (CLU®); Chartered Financial Consultant® (ChFC®); Certified Financial Planner (CFP®); Chartered Financial Analyst (CFA); Certified Private Wealth Advisor® (CPWA®); Chartered Advisor in Philanthropy® (CAP®); Certified Specialist in Planned Giving (CSPG); or Certified Trust & Fiduciary Advisor (CTFA) in any jurisdiction of the United States of America. Applicants who hold the Master of Science in Financial Services (MSFS) through The American College meet the credential requirement. Lastly, applicants who hold a Master of Science in Taxation (MST) may meet the credential requirement; however, specific criteria pertain to this degree and must be pre-approved by NAEPC Staff before submitting application.

2. **Professional discipline engaged in estate planning requirement.** The applicant must be presently and significantly engaged in "estate planning activities" as an attorney, an accountant, an insurance/financial planning professional, a philanthropic professional, or a trust professional **devoting at least a third of one's time to estate planning and estate planning activities**. To assist in

determining the percentage of time, please review the definition of estate planning below followed by a description of discrete activities determined by the NAEPC to be eligible and relevant activities for purposes of this application.

Estate planning encompasses the purposeful accumulation, conservation, preservation, and transfer of an estate by establishing clear goals and objectives through planning and implementation of an estate plan. The overall purpose of the estate planning process is to develop a plan that will promote and achieve the estate planning goals, values, and objectives of individuals and their families and to carry out their charitable goals, if any. Estate planning has come to include and mean lifetime planning that leads to creation, conservation, and transfer of assets. Estate planning should also facilitate the intended and orderly transfer of property at death, taking into consideration the family unit and the potential costs of different methods.

Qualifying estate planning activities for the AEP® designation include but are NOT limited to the following:

1. **Estates and Trusts – Planning and Administration**
    a. Designing, drafting, communicating (to internal partners, clients, or outside professionals), or implementing an estate plan.
    b. Leading clients through a discovery process to determine the ultimate purpose for their wealth and developing a plan to reach their (and their family's) purpose for it.
    c. Participating in the probate process.
    d. Administering estates and trusts and advising fiduciaries, beneficiaries, and other persons in the estate and administration process.
    e. Fiduciary litigation.
2. **Insurance in Estate Planning and Administration**
    a. Reviewing, communicating (to internal partners, clients, or outside professionals), or implementing the use of various insurance products (e.g., life, LTC, disability, annuities, property & casualty, etc.) that help meet the estate preservation and administration needs of a client and/or their family.
3. **Retirement Planning in Estate Planning and Administration**
    a. Designing, drafting, communicating (to internal partners, clients, or outside professionals), or implementing retirement plans that help meet the estate planning objectives of a client and/or their family.
4. **Taxation in Estate Planning and Administration**
    a. Designing, drafting, communicating (to internal partners, clients, or outside professionals), or implementing tax plans that help meet the estate preservation and administration needs of a client or their family.
    b. Advising on and/or preparing gift tax returns, estate tax returns, fiduciary income tax returns, fiduciary accountings, individual and partnership returns, etc.

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5. **Asset Preservation and Planning in Estate Planning and Administration**
    a. Designing, drafting, communicating (to internal partners, clients, or outside professionals), and/or implementing asset preservation plans that help meet the estate planning objectives of a client and/or their family.
6. **Educating Clients, Students, or Others in Estate Planning and Administration**
    a. Teaching and/or moderating seminars, workshops, undergraduate or graduate level courses, and continuing education programs in estate planning and administration; estate, gift and/or generation-skipping taxes; or business succession planning that would qualify for the continuing education requirement to maintain the AEP® designation in active status.
7. **Philanthropy in Estate Planning and Administration**
    a. Designing, drafting, communicating (to internal partners, clients, or outside professionals), and/or implementing philanthropic plans that help meet the estate and charitable planning objectives of a client and/or their family.

3. **Experience requirement.** An applicant must have a minimum of fifteen (15) years of experience engaged in estate planning and estate planning activities in one or more of the professional disciplines described above in order **to be exempt from the graduate coursework requirement** and to qualify under the experience exception; however, **applicants applying with the CAP® designation only, must take MSFP 615 Advanced Estate Management and Planned Giving (formerly GS 815 Advanced Estate Planning) through The American College regardless of the number of years of experience.**

4. **Membership requirement.** AEP® applicants are required to be members of, and continuously maintain membership in, an affiliated local or regional estate planning council where such membership is available. Where no affiliated local council membership is available, the applicant is required to continuously maintain an At-Large individual membership in the National Association of Estate Planners & Councils.

While it is the policy of NAEPC to require membership in the affiliated local or regional affiliated estate planning council where such membership is available, it is recognized that this membership may not always be available for all AEP® applicants due to: geographical location (within 50 miles or 60 minutes driving time); local affiliated estate planning council limits on the number of members from each discipline; unaffiliated local estate planning councils; or other local estate planning council membership criteria that prevent the AEP® applicant from belonging to an affiliated local estate planning council. If affiliated local estate planning council membership is not available for any of the foregoing reasons, then NAEPC requires that AEP® applicants obtain, and maintain, individual membership in NAEPC until such time as they can become a member of an affiliated local or regional estate planning council. Please note that it is the responsibility of the designee to reassess the availability of a local council on an annual basis. For a current list of affiliated councils, please visit the NAEPC website at http://www.naepc.org/membership/find_council. The current dues for the At-

Large individual membership in the NAEPC are $80.00 a year. The individual NAEPC membership dues are in addition to the annual dues for AEP® membership that is required to maintain, and use, the AEP® designation.

5. **Professional reputation and character requirement.** First, an applicant must continuously be in good standing with the applicant's respective professional organization and/or license authority (e.g., State Bar Association for attorneys, etc.). Specifically, an applicant for the AEP® designation who has been the subject of a disciplinary investigation, arbitration, action or decision by (1) a governing board, commission or other entity for any professional designation or certification held by the applicant currently or in the past; (2) any state or federal regulatory authority; or (3) any court of law, for an act or omission that constitutes professional misconduct, whether ethical, civil or criminal, may not be awarded the AEP® designation until a minimum of five (5) years has elapsed following the resolution of the misconduct constituting the grounds for discipline. If the AEP® Committee deems the professional misconduct to have been particularly egregious, it may require the elapse of a period of time longer than five (5) years or it may issue a permanent bar to application. The Committee may, in its sole discretion, treat the presence of any past disciplinary action, no matter how slight, as sufficient grounds to deny an application for the AEP® designation.

   Second, an applicant must provide three professional references. The applicant shall provide each individual referrer a copy of the Reference Form included in this application which may be returned to the applicant for submission or returned directly to the NAEPC. **Each reference form must be completed in its entirety in the referrer's own handwriting or by using his or her own electronic device. Forms completed by the applicant will not be accepted.** The professional references must be from individuals who primarily practice in different professional disciplines from each other drawn from those that we recognize for the designation program. **Thus, three different disciplines are represented by the professional references.**

   No reference may be from either (1) persons who work for the same company or firm as the applicant, or (2) who are related within the fourth degree of consanguinity to the applicant. Professional references must be from individuals with whom the applicant has worked on estate planning cases and assignments or individuals who are familiar with the applicant's professional capabilities and experience and who are currently engaged in estate planning. Professional disciplines are limited to attorneys, accountants, insurance/financial planning professionals, philanthropic professionals, and trust professionals.

   Finally, in addition to the three (3) professional references, the applicant must secure a completed "Affiliated Local Estate Planning Council Membership Verification" Form signed by the administrator or an officer of the council of which the applicant is a member. As with the reference forms above, this form should also be completed in its entirety in the handwriting of the administrator or officer of the council or by using their electronic devices.

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6. **Commitment to NAEPC Code of Ethics requirement.** The applicant must sign a declaration statement to continuously abide by the NAEPC Code of Ethics found within this application.

7. **Dedicated to team concept requirement.** The applicant must acknowledge a commitment to the team concept of estate planning as defined in the "Applicant Declarations" found within this application and sign the declaration statement.

8. **Continuing education requirement.** The applicant must satisfy a minimum of thirty (30) hours of continuing education during the previous twenty-four (24) months, of which at least fifteen (15) hours MUST have been in estate planning. This requirement is mandated regardless of the applicant's state or underlying designation continuing education requirement. Applicants may be requested to produce documentation to substantiate any activity claimed.

9. **Annual dues and re-certification requirement.** Designation holders are required to continuously maintain annual membership in order to use the AEP® designation. (Annual AEP® membership dues are currently $175.00.) Failure to maintain annual membership or failure to meet or comply with the recertification requirements described below will result in the revocation of the AEP® designation, until such time as the requirements are met, and will be communicated to the designee by US Mail.

   On an annual basis, designation holders must certify or recertify by signing the recertification document in hardcopy or online that:

   (1) They are continuously engaged in estate planning activities in their professional discipline;
   (2) They are in good standing with their respective professional organizations and/or license authorities and they are not currently, nor have they been during the past five (5) years, the subject of a disciplinary investigation, arbitration, action or decision by (1) a governing board, commission or other entity for any professional designation or certification that they hold or have held; (2) any state or federal regulatory authority; or (3) any court of law, for an act or omission that constitutes professional misconduct, whether ethical, civil, or criminal. Any affirmative responses must be addressed in writing and emailed to admin@naepc.org for review prior to finalizing their recertification;
   (3) They maintain membership in an affiliated local or regional estate planning council where such membership is available (availability must be reassessed by designee for annual recertification); otherwise they must be an individual, At-Large member of the NAEPC and maintain that membership;
   (4) They have abided by and will continue to abide by the NAEPC Code of Ethics;
   (5) They are dedicated to the team concept of estate planning; and
   (6) They have currently satisfied the continuing education requirements of their designated professional discipline and have maintained a minimum of thirty (30) hours of continuing education during the prior two (2) years, of which **at least fifteen (15) hours were in estate planning, to satisfy the AEP® designation continuing education requirement.**

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10. **Annual audit of certification requirements.** On an annual basis, the AEP® Committee will determine the percentage of active designation holders to be audited. If an active designation holder is chosen for audit, a letter or email from the committee leadership will be included with the annual recertification documents requesting verification that the designation holder is in good standing with his or her respective professional organizations and/or licensing authorities and they are not currently, nor have they been during the past five (5) years, the subject of a disciplinary investigation, arbitration, action or decision by (1) a governing board, commission or other entity for any professional designation or certification that that they hold or have held; (2) any state or federal regulatory authority; or (3) any court of law, for an act or omission that constitutes professional misconduct whether ethical, civil, or criminal. Any affirmative responses must be addressed in writing and emailed to admin@naepc.org for review prior to finalizing the audit; current with the continuing education requirements of thirty (30) hours, fifteen (15) of which must have been in estate planning, for the prior two (2) calendar years; and verification of membership from the appropriate affiliated local estate planning council of which the AEP® is a member. If the audited AEP® designee is not a member of an affiliated local estate planning council because there is not an affiliated council within 50 miles or 60 minutes driving time of his or her place of business or there is an affiliated council within this geographical area, but it is not available for membership, an appropriate explanation must be provided. It is the responsibility of each designee who is not a member of an affiliated local estate planning council to reassess this on an annual basis. Failure to comply with the audit request in a timely manner results in the designee being inactive and ineligible to use the designation or promote oneself as an AEP® until such time as the audit information has been submitted to and approved by the NAEPC national office.

CODE OF ETHICS

Preamble

The National Association of Estate Planners and Councils (NAEPC) is dedicated to setting and promoting standards of excellence for professionals in estate planning.

Membership in the Association comes from one of three sources. The first source of member is one who joins the NAEPC through membership in an affiliated local council. The second source of member is an at-large member who joins the NAEPC as an individual due to the local council being unaffiliated. The third source of member is an at-large member, one who is unaffiliated with a local council, whether or not the local group is not an affiliated member of the NAEPC.

To those who meet its stringent admission standards, which include, among other things, significant prior experience in estate planning activities and material formal education in the subject matter, the NAEPC confers the Accredited Estate Planner® (AEP®) designation.

The NAEPC recognizes the importance of promulgating a code of behavior for members that emphasizes a team approach to estate planning, and relies upon the competency, knowledge, professionalism, integrity, objectivity, and responsibility of each person qualifying as a candidate for certification.

In fulfillment of this mission, the Association's Board of Directors has adopted this Code of Professional Responsibility, which embodies the professional behavior expected of all NAEPC members, and which is consistent with the Codes of Ethics of the other gateway professional designations under which a member must conduct himself/herself.

That is, the NAEPC recognizes that those who attain the AEP® designation already possess other professional designations, such as Attorney at Law, Certified Public Accountant, Chartered Life Underwriter®, Chartered Financial Consultant®, Certified Financial Planner®, and Certified Trust and Fiduciary Advisor. Each of those gateway designations imposes a Code of Ethics on its members. The NAEPC intends that its Code of Ethics be consistent with those Codes already imposed on its members when the AEP® title is conferred.

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Professional Responsibilities

A member of the NAEPC is required to conduct himself/herself at all times in the following manner:

1. To uphold the integrity and honor of the profession and to encourage respect for it. This involves promoting the continual development of the estate planning industry, as well as the member's respective specialization.
2. To be fair. This requires that a professional treat others as he/she would wish to be treated if in the other's position. It also means that a member shall disclose conflicts of interest in providing estate planning services.
3. A member shall continually improve his/her knowledge, skill, and competence throughout his/her working life.
4. To do the utmost to attain a distinguished record of professional service based upon diligence. This means that a professional must act with patience, timeliness, and consistency, and do so in a prompt and thorough manner in the service of others.
5. To support the established institutions and organizations concerned with the integrity of his/her profession.
6. To respect the confidentiality of any information entrusted to, or obtained in the course of, the member's business or professional activities.
7. To regulate himself or herself. That is, every member has a two-fold duty to abide by his/her other applicable professional codes of ethics, and to also facilitate the enforcement of this Code of Professional Responsibility. This also means expeditiously reporting breaches of professional responsibility, including one's own, to the NAEPC. The NAEPC assumes responsibility for diligently investigating each reported breach. Confirmed breaches will result in discipline by the Association, and can include dismissal for the most egregious offenses.
8. To comply with all laws and regulations, in particular as they relate to professional and business activities.
9. To cooperate with Association members, and other estate planning professionals, to enhance and maintain the estate planning profession's public image, and to work together to improve the quality of services rendered.

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ACCREDITED ESTATE PLANNER® CHECK LIST

INSTRUCTIONS TO APPLICANT

Please make sure that all of the following materials are included when returning your application to the NAEPC National Office. Unless special circumstances are brought to the attention of the NAEPC National Office staff, all applicants must complete the application process providing all required information, including any transcripts, the three (3) professional references, and the affiliated local council membership verification form, if appropriate, within six (6) months of submitting the application form or the applicant will be required to re-apply and remit another non-refundable application fee. All applications undergo an administrative review prior to review by a national AEP® Review Committee that may, as part of the review, interview the applicant and/or the professional references. **Please allow approximately 6 to 8 weeks for processing and review of your application.** If you have any questions or need additional information, please call (866) 226-2224.

Please forward your completed packet to:

National Association of Estate Planners & Councils
2001 Crocker Rd., Ste. 510
Westlake, OH 44145

______ Completed Application (pgs. 10 - 19), including Applicant Declarations Page (pg. 14)

______ Applicants applying with **CAP® designation ONLY** must complete MSFP 615 Advanced Estate Management and Planned Giving (formerly GS 815 Advanced Estate Planning) through The American College of Financial Services and submit an official grade report or transcript

______ The names and addresses of the three professional references (pg. 15) unless completed reference forms are included with the application

______ Three reference forms requested by the applicant which may be returned to the applicant or submitted directly to the NAEPC under separate cover (pg. 16)

______ Affiliated Local Council Membership Verification Form (pg. 17), ***or*** $80.00 Individual NAEPC membership dues

______ Fees

- _____ $350.00 Application Fee (**non-refundable**)
- _____ $175.00 Yearly Dues (to be submitted with application)
- _____ $80.00 Individual At-Large NAEPC Membership Dues (if required as noted on P. 13)

All checks should be made payable to NAEPC ~ OR ~ Payment can be made by American Express, Discover, MasterCard, or VISA ~
If submitting your application via email we will provide a link to a secure payment site

COMMENTS and/or CREDIT CARD INFORMATION if sending application via USPS (Credit Card Number; Expiration Date; Billing Zip Code, and Signature)

____

____

ALL REQUIRED FORMS ARE INCLUDED IN THIS PACKET – APPLICATION PROCESS TAKES 6 – 8 WEEKS

NAEPC
NATIONAL ASSOCIATION OF ESTATE PLANNERS & COUNCILS
COLLABORATE · EDUCATE · CULTIVATE

APPLICATION

FOR DESIGNATION AS AN ACCREDITED ESTATE PLANNER®

PERSONAL INFORMATION ***(Please print or type)***

Name ____

Date of Birth ____

Professional Designation(s) / Degree(s) ____

Name of Firm or Organization ____

Title ____

Business Address ____

City ______________________________________ **State** _____________ **Zip** ____________________

Telephone Number ____________________________ **Cell Number** ____________________________

Email Address ____

Website Address for listing on NAEPC Website ____

Home Address ____

City _____________________________________ **State** _________________ **Zip** __________________

Home Telephone Number ____

Alternative Email Address ____

How did you learn of the AEP® Designation? ___Council ___Designee (please provide name and email address)

___The American College ___NAEPC Website ___Other

IN A FEW SENTENCES, PLEASE SHARE WHY YOU ARE APPLYING FOR THE AEP® DESIGNATION AND WHAT IT MEANS TO YOU

____
____
____
____
____
____

PROFESSIONAL CREDENTIALS

My professional license(s) / designation(s) (listed below) are currently in effect and in good standing:

☐ CPA Certificate Number ______________________________by State of ___________ Year Issued _______

☐ State Bar License Number ____________________________by State of ___________ Year Issued _______

☐ CLU® (Student Identification Number, if available) _____________________________Year Attained ______

☐ ChFC® (Student Identification Number, if available)_____________________________Year Attained ______

☐ CFP® Identification Number ____Year Attained _____________

☐ CFA (Charter Number, if available) ______________________________________Year Attained ___________

☐ CTFA Identification Number ____Year Attained _____________

☐ CPWA® Identification Number ____Year Attained _________

☐ CAP ® (Student Identification Number, if available) _____________________________Year Attained ______

Applying with CAP® only, must complete MSFP 615 Advanced Estate Management and Planned Giving (formerly GS 815 Advanced Estate Planning) through The American College of Financial Services

☐ CSPG Identification Number ____Year Attained ___________

☐ MSFS (Student Identification Number, if available) ____________________________Year Attained ______

☐ MST (Student Identification Number, if available) ____________________________Year Attained ______
(Specific criteria required; must be pre-approved before applying)

☐ I am subject to FINRA regulation* and hold the following License(s) _________________________________
(*Please note that any disclosure event included in the FINRA report will be reviewed and may require explanation or clarification by the applicant)

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For degrees earned, please provide the name of degree, educational institution, and year awarded here.

____

____

PROFESSIONAL DISCIPLINE

I am presently engaged in "estate planning activities" ***primarily*** (check only one primary discipline) as:

An accountant _____, an attorney _____, an insurance/financial planning professional _____,
a philanthropic professional_____, or a trust professional.

EXPERIENCE REQUIREMENT

I have the required experience in estate planning activities in one of the aforementioned disciplines and have devoted **a minimum of one-third** of my professional time to the estate planning activities (practice, educational activities, etc.) for the total number of years provided below. (Please refer to the definition of estate planning and estate planning activities on page 2 when answering these questions.)

Please provide the total number of years in which you have been actively engaged in estate planning noting that a **minimum of 15 years is required to be exempt from the graduate coursework**: ________

EMPLOYMENT HISTORY

Please provide the following information in the space below or, if you prefer, you may attach your resume provided it contains all of the information requested, including the % of time you are currently and have devoted to estate planning for the total number of years provided above:

Place of Employment	**Position and Title**	**Start and End Dates**	**% of Time Devoted to Estate Planning (see definition on page 2)**

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In addition, please provide specific information detailing the types of estate planning activities that you have been engaged in, including, but not limited to, estate, gift and/or generation skipping taxes; types of trusts employed; charitable planning techniques; insurance and financial planning instruments; and business succession planning with a chronological timeline. ***NOTE: In the interest of confidentially, please do not include client names or company names of yourself or those you have counseled or any information that could reasonably identify those involved.***

____
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____

Please use another sheet if necessary

MEMBERSHIP REQUIREMENT

1. I am a member, in good standing, of the ____

   Estate Planning Council which is _____ or is **NOT**_____ affiliated with the NAEPC.

   If your council is **not affiliated** with NAEPC, please complete Number 2 and see footnote below.

2. I am not a member of an **affiliated** estate planning council because*
   (Examples: geographic restrictions, restrictions on membership, etc.)

____

____

____

***If you are not a member of an affiliated Council for the reason/s outlined above, please include $80.00 for Individual NAEPC membership dues in your remittance.**

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APPLICANT DECLARATIONS

I certify that I am in good standing with my professional organization and/or license authority. I further certify that I am not currently, nor have I been for the past five (5) years, the subject of a disciplinary investigation, arbitration, action or decision by (1) a governing board, commission or other entity for any professional designation or certification that I hold or have held; (2) any state or federal regulatory authority; or (3) any court of law, for an act or omission that constitutes professional misconduct, whether ethical, civil or criminal. Any affirmative responses must be addressed in writing and emailed to admin@naepc.org for review prior to finalizing your designation application.

I agree to continuously abide by the NAEPC Code of Ethics, a copy of which is included with this application, the NAEPC Mission Statement, and the NAEPC Vision Statement, all of which can be found by visiting the NAEPC website, www.naepc.org.

I hereby acknowledge that estate planning is a highly complex, multi-disciplinary activity and, as such, I will seek to understand the interactions and interdependencies between and among the professionals on the team in support of the team concept of estate planning and agree to abide by such concept while holding the designation of ACCREDITED ESTATE PLANNER®. I further acknowledge that actions I take in my own professional discipline may have different consequences over the short and long run and in different parts of the system, so I will also work toward a high-level of communication, cooperation, and coordination with the goal of a successful professional collaboration in service to the client.

I certify that I have satisfied a minimum of thirty (30) hours of continuing education during the previous twenty-four (24) months, of which at least fifteen (15) hours have been in estate planning. I also understand that I may be required to produce documentation substantiating any activity for which I claim credit.

I authorize the NAEPC to contact any person whom I have named as a reference and to contact my applicable licensing or regulatory authority regarding my credentials, and I authorize such persons or authorities to respond to any inquiry.

Further, I agree, upon receiving the designation of ACCREDITED ESTATE PLANNER®, to maintain membership in an affiliated estate planning council where such membership is available, or as an At-Large Member in the National Association of Estate Planners & Councils, and to abide by any continuing education and ***recertification*** *requirements for ACCREDITED ESTATE PLANNER® designees. Furthermore, I understand that, as an ACCREDITED ESTATE PLANNER®, I am required to maintain annual AEP® membership in order to use the designation. I agree to promptly supply third-party verification or information from which third-party verification may be obtained regarding any of the foregoing, if I am one of the persons randomly selected for audit. Furthermore, I understand that, if I do not comply with the above requirements, I am ineligible to use the AEP® designation or represent myself as an ACCREDITED ESTATE PLANNER®. I agree and acknowledge that, if I use the AEP® designation after my right to do so has been rightfully terminated by the NAEPC, then I will be subject to injunctive relief through the courts of such jurisdiction wherein (1) the NAEPC then has its administrative offices, (2) the NAEPC is incorporated, or (3) the then current President of the NAEPC resides. I further agree and acknowledge that I shall be liable for all costs, including attorney fees, incurred by the NAEPC in obtaining such injunctive relief.*

I hereby certify that the information in this application is true and correct to the best of my knowledge and belief. If any of the above statements is determined to be false, or if I do not, in fact, meet the aforementioned requirements, I agree to surrender any certificate that may have been awarded and promptly cease to represent myself as an ACCREDITED ESTATE PLANNER®, and I authorize the National Association of Estate Planners & Councils to publicize the removal of my designation as an ACCREDITED ESTATE PLANNER®.

NAME (Please print or type) ____

Signature ______________________________________ *Date* ____________________

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<u>I HAVE REQUESTED PROFESSIONAL REFERENCES FROM THE FOLLOWING:</u>
(IF YOU HAVE SUBMITTED THE THREE COMPLETED PROFESSIONAL REFERENCES WITH YOUR APPLICATION, YOU DO NOT NEED TO INCLUDE THIS FORM)

NAME: ____

FIRM: ____

ADDRESS: ____

CITY, STATE & ZIP: ____

PHONE: ____ EMAIL ADDRESS: __________________________________

PRIMARY PROFESSIONAL DISCIPLINE: ____

~

NAME: ____

FIRM: ____

ADDRESS: ____

CITY, STATE & ZIP: ____

PHONE: ____ EMAIL ADDRESS: __________________________________

PRIMARY PROFESSIONAL DISCIPLINE: ____

~

NAME: ____

FIRM: ____

ADDRESS: ____

CITY, STATE & ZIP: ____

PHONE: ____ EMAIL ADDRESS: __________________________________

PRIMARY PROFESSIONAL DISCIPLINE: ____

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NAEPC
NATIONAL ASSOCIATION OF ESTATE PLANNERS & COUNCILS
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REFERENCE FORM

FOR DESIGNATION AS AN ACCREDITED ESTATE PLANNER®

PLEASE PRINT OR TYPE

____ is an applicant for the AEP® designation and has selected you as a reference. Your response to this inquiry is very much appreciated and will be held in the strictest confidence. **Please note that as a referrer you may not be related to the applicant or work with the same firm or an affiliated entity as the applicant and you must be engaged in estate planning. Please note that you may be contacted by the review committee for clarification or additional information about the applicant. Thank you for your time and participation.**

INSTRUCTIONS FOR COMPLETING THIS FORM

1. Use this form for your response **which must be completed in its entirety by you personally**.
2. Base your responses only on the work performed by the applicant with which you are personally familiar.
3. Either return this form to the applicant or email, fax, or send by US Mail directly to the NAEPC at:
   NAEPC ~ 2001 Crocker Rd., Ste. 510, Westlake, OH 44145

PLEASE ANSWER THE FOLLOWING QUESTIONS:

1. How long have you known the applicant? ____
2. Please describe the services provided by the applicant in estate planning in which the applicant participated with you as a member of an estate planning team. ____
   ____
   ____
3. Does the applicant perform in a professional manner? ____ Yes ____ No
4. To the best of your knowledge, how long has the applicant been involved in estate planning? ***Please check the space preceding appropriate response***
   ____ 5 - 10 years ____ 10 - 15 years ____ 15 - 20 years ____ 20+ years ____ I don't know
5. Do you recommend this individual for the AEP® designation? ____ Yes ____ No
6. Please state why you feel the applicant deserves to be awarded the AEP® designation. ____________________
   ____
   ____

YOUR NAME____

YOUR ***PRIMARY*** PROFESSION: ***Please check the space preceding the name of your primary discipline***

_____Attorney ____ Accountant _____Insurance/Financial planning Professional ____ Philanthropic Professional
_____Trust Professional

Please check the space preceding any of the following designations that you hold:

___JD ____ CPA ____ CLU® ____ ChFC®____ CFA ___CFP® ____ CPWA® ____ CTFA ____ CAP® ___CSPG ____AEP®

ARE YOU RELATED TO THE APPLICANT? ____ Yes ____ No If yes, how related?____________________

ARE YOU ENGAGED IN ESTATE PLANNING? ____ Yes ____ No

NAME OF YOUR FIRM OR ORGANIZATION____

IS YOUR FIRM AFFILIATED WITH THE APPLICANTS FIRM? ____Yes ____ No If yes, please explain:
____

ADDRESS ____

CITY, STATE, ZIP ____

TELEPHONE NO.____________________ EMAIL ADDRESS ____________________

SIGNATURE ____ DATE ____________________

NAEPC
NATIONAL ASSOCIATION OF ESTATE PLANNERS & COUNCILS
COLLABORATE · EDUCATE · CULTIVATE

AFFILIATED ESTATE PLANNING COUNCIL MEMBERSHIP VERIFICATION for AEP® APPLICANT

PLEASE PRINT OR TYPE

_________________________________ is an applicant for designation as an **ACCREDITED ESTATE PLANNER®**. Our guidelines require membership in a local council **affiliated** with NAEPC and completion of this form is, therefore, necessary to complete the AEP® application process. Your response to this inquiry is very much appreciated and will be held in the strictest confidence.

INSTRUCTIONS FOR COMPLETING THIS FORM

1. Use this form for your response.
2. Base your responses on information known to you or to the best of your information and belief.
3. Either return this form to the applicant or email, fax, or send by US Mail directly to the NAEPC at:
   NAEPC ~ 2001 Crocker Rd., Ste. 510, Westlake, OH 44145

PLEASE ANSWER THE FOLLOWING QUESTIONS:

1. Is the applicant a member in good standing of your Council?
   _____Yes _____No
2. If "Yes", under which discipline is the membership held? ***Please check the space preceding the discipline***
   _____Accountant _____ Attorney _____ Insurance/Financial Planning Professional
   _____Philanthropic Professional ______Trust Professional _____Other (Please specify _____________________)
3. Estimate the number of years the applicant has been a member of your local council: ______

NAME OF COUNCIL____

ADDRESS ____

CITY, STATE, ZIP ____

TEL. NO. (   ) _________________________ EMAIL _________________________

NAME OF COUNCIL ADMINISTRATOR OR COUNCIL OFFICER_________________________

SIGNATURE____

DATE____

Please note that the information requested on Pages 18 and 19 is discretionary and will not be used to disqualify an applicant from consideration should you leave any or all of these sections blank.

PUBLICATIONS AND SPEAKING ENGAGEMENTS

*You may be interested to know that we publish the **NAEPC Journal of Estate & Tax Planning** three times a year in an electronic format and invite you to consider submitting an article for publication. For more information, please visit https://www.naepcjournal.org/.*

Please provide a listing of articles or materials that you have authored with publication name and year along with any speaking engagements indicating the topic of the speaking engagement, the group spoken to, the location and the date, if any:

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HONORS AND PROFESSIONAL AWARDS

Please provide a listing of any honors or awards which you have received because of your professional or civic activities indicating the name of each organization bestowing the honor or award, if any:

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____

MEMBERSHIP IN PROFESSIONAL AND CIVIC ORGANIZATIONS AND ASSOCIATIONS

Please list any professional organizations and associations of which you are a member, including any leadership positions, and dates of membership, if any:

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DIVERSITY, EQUITY & INCLUSION

NAEPC is committed to creating momentum and cultivating a foundation for growth in the number and type of diverse voices within the association's leadership, membership, and programming, as well as that of the councils affiliated with NAEPC, the profession as a whole, and various disciplines represented within. As we work to gather this information and make progress toward becoming a more diverse organization, do you have attributes representing diversity or experiences within your professional pathway that would assist the committee in learning more about you? If so, please describe anything that you feel comfortable sharing:

____
____
____
____
____
____

2001 CROCKER RD., SUITE 510 WESTLAKE, OH 44145
(866) 226-2224 · NAEPC.ORG · ADMIN@NAEPC.ORG